

REQUEST FOR CATEGORICAL EXCLUSION

PROJECT/ACTIVITY DATA

Project/Activity Name:	International Trade Centre PIO Grant
Geographic Location(s) (Country/Region):	Worldwide
Amendment (Yes/No), if Yes indicate # (1, 2...):	Y, #2
Implementation Start/End Dates (FY or M/D/Y):	9/30/2016 – 10/30/2019
If Amended, specify New End Date:	10/29/2021
Solicitation/Contract/Award Number(s):	AID-EGEE-G-16-00002
Implementing Partner(s):	International Trade Centre
Bureau Tracking ID:	E3-47-58 E3-19-04
Tracking ID of Related RCE/IEE (if any):	
Tracking ID of Other, Related Analyses:	

ORGANIZATIONAL/ADMINISTRATIVE DATA

Implementing Operating Unit(s): (e.g. Mission or Bureau or Office)	E3/TRR
Other Affected Operating Unit(s):	E3/TRR
Lead BEO Bureau:	
Funding Operating Unit(s): (e.g. Mission or Bureau or Office)	E3/TRR
Funding Account(s) (if available):	FY 2018 DA funds
Original Funding Amount:	\$1,761,372
If Amended, specify funding amount:	\$769,000
If Amended, specify new funding total:	\$2,530,372
Prepared by:	Michael Blackman
Date Prepared:	4/5/2019

ENVIRONMENTAL COMPLIANCE REVIEW DATA

Analysis Type:	<input checked="" type="checkbox"/> Request for Categorical Exclusion <input type="checkbox"/> Deferral					
Environmental Determination(s):	<input type="checkbox"/> Categorical Exclusion(s) <input type="checkbox"/> Deferred (per 22 CFR 216.3(a)(7)(iv))					
RCE Expiration Date (if applicable):						
Additional Analyses/Reporting Required:						
Climate Risks Identified (#):	Low	#	Moderate	#	High	#
Climate Risks Addressed (#):	Low	#	Moderate	#	High	#

THRESHOLD DETERMINATION AND SUMMARY OF FINDINGS

PROJECT/ACTIVITY SUMMARY

ITC will implement a program intended to support an overall effort to increase U.S. export-led growth through better border procedures and enhanced compliance with product standards. The project will work with the public sector to reduce barriers to trade and with the private sector to take advantage of opportunities to trade.

ENVIRONMENTAL DETERMINATIONS

Upon approval of this document, the determinations become affirmed, per Agency regulations (22 CFR 216).

TABLE 1: ENVIRONMENTAL DETERMINATIONS

Projects/Activities	Categorical Exclusion Citation (if applicable)	Deferral ¹
Trade Facilitation, Customs, Logistics, Transport and Border Management	Education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities);	<input type="checkbox"/>

CLIMATE RISK MANAGEMENT

There are no significant climate risks for the general tasks under the ITC PIO Grant. However, climate risks that increase disasters or reduce productivity are an important consideration in economic and political analyses due to their potential to affect economic growth and political stability.

Individual activities under this award do not appear to be subject to climate risks that are location and time specific. Extreme climate events may disrupt scheduled workshops, conferences, trainings, or field travel. These will be avoided in future scheduling decisions when and where possible.

The activities conducted under this award will increase information capacity, social and institutional capacity, human capacity related to trade facilitation and private sector development. This work may have indirect positive effects on adaptive capacity, but the magnitude will be country and context specific and cannot be predicted at this time. Where relevant, climate change expertise will be obtained to identify risks and address opportunities.

The climate risks to the implementation of this award are extremely low.

¹ Deferrals must be cleared through an Amendment to this RCE prior to implementation of any deferred activities.

BEO SPECIFIED CONDITIONS OF APPROVAL

None

IMPLEMENTATION

In accordance with 22 CFR 216 and Agency policy, the conditions and requirements of this document become mandatory upon approval. This includes the relevant limitations, conditions and requirements in this document as stated in Section 3 of this RCE and any BEO Specified Conditions of Approval.

USAID APPROVAL OF INITIAL ENVIRONMENTAL EXAMINATION

PROJECT/ACTIVITY NAME: International Trade Centre PIO Grant

Bureau Tracking ID: E3-19-04

Approval:

Lori Brock
Lori Brock, E3/TRR, Acting Director

4/5/2019
Date

Clearance:

Approved For PF
Paul Fekete, A/COR

4/5/2019
Date

Concurrence:

Teresa Bernhardt
Teresa Bernhardt, Bureau Environmental Officer

4/11/19
Date

DISTRIBUTION:

1.0 PROJECT AND ACTIVITY DESCRIPTION

1.1 PURPOSE OF THE RCE

The purpose of this document is to establish that all proposed projects/activities belong to classes of actions eligible for Categorical Exclusions as set out in Agency regulations (22 CFR 216.2(c)) and that there are no foreseeable significant direct or indirect impacts that would preclude them from receiving a Categorical Exclusion. Upon approval of this document, the Categorical Exclusions are affirmed for the project/activity. This analysis also documents the results of the project/activity level Climate Risk Management process in accordance with USAID policy (specifically, ADS 201 mandatory reference 201mal).

This RCE is a critical element of USAID's mandatory environmental review and compliance process meant to achieve environmentally sound activity design and implementation.

1.2 PROJECT/ACTIVITY OVERVIEW

ITC will implement a program intended to support an overall effort to increase U.S. export-led growth through better border procedures and enhanced compliance with product standards. The project will work with the public sector to reduce barriers to trade and with the private sector to take advantage of opportunities to trade.

One component of this project is to strengthen the Small Business support system. The objective is to accelerate small and medium enterprise (SME) exports. USAID will work with the ITC and local partners to support SMEs in identifying export opportunities and address non-tariff barriers to trade. A non-tariff measure business survey will collect information from the governments identified by joint work with missions and regional bureaus on the most challenging barriers to trade and the provisions that impede U.S. and businesses from effectively trading. Based on the survey, USAID will work with governments and business associations in those countries to effectively address trade barriers and provide training to potential exporters in partnership with U.S. firms to expand two-way trade.

These activities will be based in and utilize the network of Small Business Development Centers. USAID will coordinate with the Department of Commerce and other U.S. Government agencies on areas of common priorities to support U.S. exports.

1.3 PROJECT/ACTIVITY DESCRIPTION

TABLE 2: DEFINED OR ILLUSTRATIVE PROJECTS/ACTIVITIES AND SUB-ACTIVITIES

Project/Activity 1 — Trade Facilitation, Customs, Logistics, Transport and Border Management

2.0 ENVIRONMENTAL ANALYSIS

2.1 JUSTIFICATION FOR CATEGORICAL EXCLUSION

The activities under the International Trade Centre PIO Grant are among the classes of actions listed in 22 CFR 216.2(c)(2) and have no foreseeable significant direct or indirect adverse effect on the environment. Therefore, under 22 CFR 216.2(c)(1), neither an IEE nor an EA will be required for these activities. Instead, a Categorical Exclusion is recommended for the projects/activities described above in Section 1.3 as follows:

TABLE 3: RECOMMENDED DETERMINATION FOR CATEGORICAL EXCLUSION

Project/Activity and Sub-Activity #	Recommended Determination for Categorical Exclusion
Trade Facilitation, Customs, Logistics, Transport and Border Management	§216.2(c)(2)(i) Education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities, etc.)
Trade Facilitation, Customs, Logistics, Transport and Border Management	§216.2(c)(2)(iii) Analyses, studies, academic or research workshops and meetings
Stakeholder mapping to inform project design, implementation, monitoring, and potential partnerships	§216.2(c)(2)(iii) Analyses, studies, academic or research workshops and meetings
Collecting evidence of impacts of reforms on trade, regulatory reform and private sector development	§216.2(c)(2)(iii) Analyses, studies, academic or research workshops and meetings
Trade Facilitation, Customs, Logistics, Transport and Border Management	§216.2(c)(2)(xiv) Studies, projects or programs intended to develop the capability of recipient countries to engage in development planning, except to the extent designed to result in activities directly affecting the environment (such as construction of facilities, etc.)

2.2 CLIMATE RISK MANAGEMENT

This section summarizes the methodology used and findings of the CRM Screening (see Annex 1). The project design team, in consultation with the CIL, considered the potential effect of climate risks/stressors on the sustainability of the project (changing precipitation patterns, rising temperature, floods, droughts, fires, landslides, etc.) in addition to the impact of project activities on the climate (increased greenhouse gas emissions, land use changes, etc.).

3.0 LIMITATIONS OF THE CATEGORICAL EXCLUSION

The categorical exclusions recommended in this document apply only to projects/activities and sub-activities described herein.

Other projects/activities that may arise must be subject to an environmental analysis and the appropriate documentation prepared and approved, whether it be a new Request for Categorical Exclusion, an amendment, or other type of 22 CFR 216 document.

It is confirmed that the projects/activities described herein do not involve actions normally having a significant effect on the environment, including those described in 22 CFR 216.2(d).

3.1 MANDATORY INCLUSION OF ENVIRONMENTAL COMPLIANCE REQUIREMENTS IN SOLICITATIONS, AWARDS, BUDGETS, AND WORK PLANS

USAID will ensure the environmental compliance requirements are incorporated into solicitations, awards, budgets, and work plans, including relevant limitations of Section 3 above. In addition, climate risk management requirements will also be incorporated.

3.2 GENERAL IMPLEMENTATION & MONITORING REQUIREMENTS (IF APPLICABLE)

USAID will ensure that the following requirements are met:

- Provide briefings for Implementing Partner (IP) on environmental compliance responsibilities
- Ensure integration of compliance responsibilities in prime and sub-awards and grant agreements;
- Ensure compliance with applicable partner country requirements
- Annual review of project activities to ensure that scope is still covered by Categorical Exclusion

ATTACHMENTS:

Annex 1: Climate Risk Management Summary Table for Projects (use for project level RCE) or
Annex 1: Climate Risk Management Summary Table for Activity (use for Activity level RCE)
(note: preparers have the option to use the Climate Risk Screening and Management Tool for Projects/Activity Design if they choose).

ANNEX 1. **PROJECT** CLIMATE RISK MANAGEMENT SUMMARY TABLE

Defined or Anticipated Project Elements ²	Climate Risks ³	Risk Rating ⁴	How Risks are Addressed at Project Level ⁵	Further Analysis and Actions for Activity Design/ Implementation ⁶	Opportunities to Strengthen Climate Resilience ⁷
Trade Facilitation, Customs, Logistics, Transport and Border Management	There are no significant climate risks for the general tasks under the ITC PIO grant. However, climate risks that increase disasters or reduce productivity are important considerations in economic and political analyses due to their potential to affect economic growth and political stability. Individual activities under this award do not appear to be subject to climate risks that are location and	Low	The Statement of Work (SOW) will address the need for each buy-in to identify potential risks. Appropriate language in the SOW will mention USAID requirements for climate risk screening and management.	Appropriate language in the SOW will mention USAID requirements for climate risk screening and management.	There are no opportunities to have climate specific activities under this award.

² Purpose/Sub-purpose, Area of Focus, or Activity/ Mechanism, etc.

³ List key risks related to the project elements identified through either the strategy- or project-level climate risk assessment.

⁴ Low/Moderate/ High

⁵ Describe how risks have been addressed at the project level. If a decision has been made to accept the risk, briefly explain why.

⁶ Describe CRM measures to be integrated into activity design or implementation, including additional analysis, if applicable.

⁷ Describe opportunities to achieve development objectives by integrating climate resilience or mitigation measures.

Defined or Anticipated Project Elements ²	Climate Risks ³	Risk Rating ⁴	How Risks are Addressed at Project Level ⁵	Further Analysis and Actions for Activity Design/ Implementation ⁶	Opportunities to Strengthen Climate Resilience ⁷
	time specific, and cannot be predicted at this time. climate events may disrupt scheduled workshops, conferences, trainings, or field travel. These will be avoided in future scheduling decisions where/when possible.				
Stakeholder mapping to inform project design, implementation, monitoring, and potential partnerships					
Collecting evidence of impacts of reforms on trade, regulatory reform and private sector development					

Defined or Anticipated Project Elements ²	Climate Risks ³	Risk Rating ⁴	How Risks are Addressed at Project Level ⁵	Further Analysis and Actions for Activity Design/ Implementation ⁶	Opportunities to Strengthen Climate Resilience ⁷

ANNEX 1. **ACTIVITY** CLIMATE RISK MANAGEMENT SUMMARY TABLE

Tasks/Defined or Illustrative Interventions	Climate Risks ⁸	Risk Rating ⁹	How Risks are Addressed ¹⁰	Opportunities to Strengthen Climate Resilience ¹¹
Studies to improve clearance time at border crossings	There are no significant climate risks for the general tasks under the ITC PIO grant. However, climate risks that increase disasters or reduce productivity are important considerations in economic and political analyses due to their potential to affect economic growth and political stability. Individual activities under this award do not appear to be subject to climate risks that are location and time specific, and cannot be predicted at this time. climate events may disrupt scheduled workshops, conferences, trainings, or field travel. These	Low	The Statement of Work (SOW) will address the need for each buy-in to identify potential risks. Appropriate language in the SOW will mention USAID requirements for climate risk screening and management.	There are no opportunities to have climate specific activities under this award.

⁸ List key risks related to the defined/illustrative interventions identified in the screening and additional assessment.

⁹ Low/Moderate/ High

¹⁰ Describe how risks have been addressed in activity design and/or additional steps that will be taken in implementation. If you chose to accept the risk, briefly explain why.

¹¹ Describe opportunities to achieve multiple development objectives by integrating climate resilience or mitigation measures

[illegible]